

COPY OF PATENTS  
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RCE/3628  
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11-7-02

PATENT APPLICATION TRANSMITTAL - REQUEST FOR CONTINUED EXAMINATION (RCE) (37 CFR §1.114)	Atty Docket No.: 10269/13
	First Inventor or Application Identifier: Keiser

**BOX RCE**  
Commissioner for Patents  
Washington, D.C. 20231

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the application identified below:

Application No. : 09/465,607  
Filing Date : December 17, 1999  
Group Art Unit : 3628  
Examiner Name : Clement B. Graham  
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM  
WITH VIRTUAL CURRENCY AND VIRTUAL SPECIALIST  
FUNCTION

1. Submission required under 37 C.F.R. §1.114

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendments referred to above will be entered)

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☒ Information Disclosure Statement (IDS)

iv. ☒ Return Receipt Postcard

2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months (not to exceed 3 months. Fee under 37 C.F.R. §1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. Fees The RCE fee under 37 CFR §1.17(e) is required when the RCE is filed

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-4270

i. ☒ RCE fee of \$740.00 under 37 CFR §1.17(e) (required when the RCE is filed)

ii. ☒ Extension of time fee of \$110.00. A petition for an extension of time is requested if needed.

iii. ☐ Other \_\_\_\_\_

b. ☐ Check enclosed in the amount of \_\_\_\_\_

(continued on following page)

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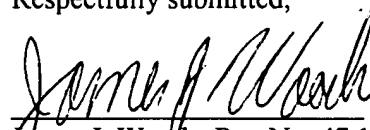
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**Correspondence address: Use Customer Number 29858.**

Respectfully submitted,

Dated: 10/25/02

By:



James J. Woods, Reg No. 47,184

Brown Raysman Millstein Felder & Steiner LLP

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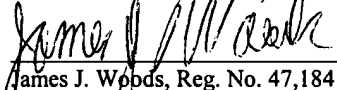
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**Certificate of mailing**

I hereby certify that this paper is being deposited this date with the

U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

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James J. Woods, Reg. No. 47,184

10/25/02  
Date